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SERVICE HOTLINE

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T+3 PHASE II IMPLEMENTATION

This weekend sees the implementation of the final part of T+3 Phase II, which will include:

- Margin calculations from the ECS application.
- BDA real-time Deal Management.
- Implementation of Prime Broking automation.

Members are requested to take note of the following:

BDA Service Hotline 185/2014

BDA Service Hotline 185/2014 details the system availability and post go-live week activities.

Margins from ECS

From EOD Thursday, 30 October 2014 ECS will be calculating the margin calls and refunds. As a result, members will see a full refund of margin from the BDA system and a margin call from ECS being processed through the bank account.

Members should also note that margin calls and refunds generated by ECS will be going through Strate for settlement, and will be settled against the members ZZ settlement account. Arrangements must be made with your bankers to transfer funds into your ZZ settlement account to cover any margin calls.

Market / Service:
BDA and ECS Implementation

Environment(s):
Production T+3 Phase II

Additional Information:
If you have any queries about this announcement, please contact +27 11 520 7777 or customersupport@jse.co.za

ECS Account Monitoring in BDA

An account monitoring screen has been provided in BDA which allows the user to monitor the status of new or changed accounts in ECS.

```
OPTN: ENEAC            ECS ACC-CDE STATUS ENQUIRY                16:38:41
ACTN:                                                    JSE     592
ENTER ACCOUNT CODE   : _____     FROM DATE _____

BDA:                                     ECS:
      ACC STL     SENT    SENT          STL    CONFIRM CONFIRM
ACC-CDE TYP TYP    DATE    TIME STATUS   TYP     DATE    TIME STATUS












PF1 HELP    2 RETURN    4 MAIN MENU    5 TABLES   7 PREV    8 NEXT    12 QUIT
```

Please ensure SECFN access is given to the appropriate staff.

Enquiry Access
- ENEAC   ECS ACC-CDE STATUS ENQUIRY

BDA Real-time Deal Management

The RPROC requests that are necessary for the production of real-time contract notes have been loaded for all members. The new RPROC requests are:

RTNOTA   REALTIME BRK.NOTES FOR AGENTS
RTNOTE   REALTIME BRK.NOTES FOR CLIENTS
XHNOTA   XML HIST BROK.NOTES FOR AGENTS
XHNOTE   XML HIST BROK.NOTES FOR CLIENTS
XTNOTA   REALTME XML BRK.NOTES - AGENTS
XTNOTE   REALTME XML BRK.NOTES - CLIENTS

With the loading of the XML requests above, contract notes resulting from DLPREs and history contract notes will be produced in XML format rather than being routed to a remote printer.

In addition to the loading of the contract note requests it is essential for members to load specific datasets on the T.DSN table. This table controls the real-time function RTREL. If it is not loaded and a user tries to release trades on RTREL an error message T.DSN NOT LOADED will be displayed.

The 999 under BRK represents your Broker Numeric Code and the XXX in the Dataset name represents your Broker Alpha Code. Please ensure that the XML SEQ is set to 000000 on the first two datasets.

```
OPTN: T.DSN         BCS/A:  GENERAL TABLE MAINTENANCE        22OCT14 15:48:25
ACTN:                                                        XXX   592
TABLE: DATASET NAMES                     START BROWSING FROM:
                                         INCL  DEACTIVATED  :  N
F BRK PROCS-CDE  DESCRIPTION                         XML-SEQ
_ 999 XTNOTA     BBAP.SPRD.XTNOTA.XXX                00000
_ 999 XTNOTE     BBAP.SPRD.XTNOTE.XXX                00000
_ 999 ZTNOTA     DDAP.SPRD.XXX.XMLRA.ZIP
_ 999 ZTNOTE     DDAP.SPRD.XXX.XMLRE.ZIP
```

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Update Access
- RTMNT   REAL TIME TRADE RELEASE
- SDALL   SAME DAY ALLOCATIONS
- NXTAL   NEXT DAY ALLOCATIONS

Enquiry Access
- TRELT   TRADES RELEASED TODAY
- ALDLS   OPEN DEALS ON ALLOCATION ACCOUNTS
- RTNTE   REAL TIME NOTE ENQUIRY
- PRAVP   POST RELEASE AVERAGE PRICE SUMMARY
- RTMON   REAL TIME RELEASE STATUS MONITORING

Prime Broking Automation

Prime and Executing brokers may introduce the Prime Broking automation in their own time and must liaise with each other when deciding to use the functionality.

Executing brokers are required to visit their non-controlled accounts that settle via a Prime Broker and:
1. Delete the CSDP details.
2. Load the Prime Broker code and Prime Account on the 3rd panel of CLMNT and implement the change.
3. Request that the Prime Broker approves the account loading.

This must take place on the same day, so as not to impact settlement of either existing or new trades.

We would suggest that members familiarise themselves with the process and settlement by enabling just one account before moving to load more accounts.

If accounts are set up on Monday 27 October 2014 to automate the Prime Trades, trades booked on Friday and allocated on Friday to Prime Settled Accounts will not feed to the Prime Broker. However trades allocated on Monday even if booked on Friday, will feed to the Prime Broker if allocated once the account is verified.

Additional requests for the PDBLOT, PDSETT, PDSETL and PDSETS reports may be loaded on RPROC with the Prime Broking Indicator set to "P" to report on the Prime Deals only.

Please ensure SECFN access is given to the appropriate staff:

Update Access
- PBACV   PRIME BROKER ACCOUNT VERIFICATION

Enquiry Access
- EBENQ   EXECUTING BROKER PRIME ACCOUNT ENQUIRY

Presentations and Manuals

Please refer to the JSE Extranet site for manuals and presentations on the changes being implemented this week-end.